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Resolving the Orientation of Cylinders and Cuboids from Projected Area Measurements

by Richard Saucier

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by Richard Saucier

Survivability/Lethality Analysis Directorate, ARL

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1. Summary

The FATEPEN model predicts the penetration of a mass striking a target plate for a variety of shapes, including cylinders and cuboids—among others. Crucial to the use of the model is a good estimate of not only the mass and velocity but also the impact orientation in terms of pitch, yaw, and roll. Yaw cards and orthogonal X-rays can provide estimates of the impact projected area, but the model makes use of the impact angle, which is defined to be the minimum angle that a penetrator face makes with the target plate. This report addresses this issue by calculating the pitch-yaw-roll rotation sequence that will bring about the orientation at impact from orthogonal projected area measurements. It is shown that the impact angle is uniquely determined in the case of cylinders, but that is not the case for cuboids. Furthermore, for cuboids multiple impact angles for the same projected area measurements can lead to significantly different FATEPEN predictions. This leads to the conclusion that cylinders and not cuboids should be used for FATEPEN validation.

2. Introduction

The FATEPEN¹ model is a Fortran code for simulating penetration of fragments, long rods, and projectiles into target plates and predicting penetration, perforation, residual mass, and residual velocity. It provides the following shapes: sphere, right circular cylinder (RCC), round-nose cylinder, sharp-nose cylinder, tapered/truncated cylinder, and rectangular parallelepiped (RPP). This report is limited to RCCs, which we will simply call cylinders, and RPPs, which we will call cuboids. Unlike the THOR² model, which only requires an impact presented area, the FATEPEN model requires a specific shape and orientation.

The impact presented area, or projected area, is a key parameter that affects the penetration process since the penetration depth scales with the mass per unit presented area for a given striking velocity. But the FATEPEN model goes beyond the presented area and also requires the angle that each flat face of the striking mass makes with the target plate. This means that we need to account for both the presented area and the orientation of the fragment to use FATEPEN properly.

3. Methods, Assumptions, and Procedures

We first define a *standard orientation* of the cylinder or cuboid as the starting orientation and then describe the operational procedure to give it a specific final orientation. This standard orientation will be with the center at the origin of a right-handed Cartesian (x, y, z) coordinate system and the length of the cylinder or cuboid aligned with the z -axis. (The target plate is taken to be parallel to the x - y plane, and the fragment velocity vector is along the negative z axis.) The operational procedure will be in terms pitch, yaw, and roll, where *pitch* is a counterclockwise rotation about the x -axis, *yaw* is a counterclockwise rotation about the y -axis, and *roll* is a counterclockwise rotation about the z -axis.* For convenience, we also define \hat{i} , \hat{j} , and \hat{k} to be unit vectors along the x , y , and z axis, respectively, as shown in Fig. 1.

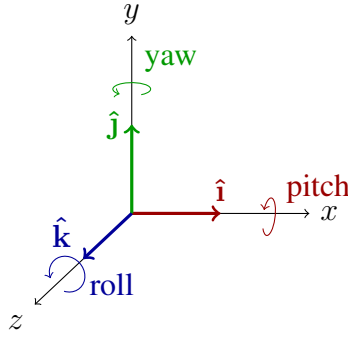


Fig. 1. Description of pitch, yaw, and roll

Pitch, yaw, and roll are then described by the operators $R_{\hat{i}}(\phi_p)$, $R_{\hat{j}}(\phi_y)$, and $R_{\hat{k}}(\phi_r)$, where the unit vector subscript denotes the axis of rotation, and ϕ_p , ϕ_y , and ϕ_r are pitch, yaw, and roll angles, respectively. A *rotation sequence* accounts for the fact that the unit vectors are also transformed by prior rotations. Thus, a pitch-yaw-roll rotation sequence is described by

$$R = R_{\hat{k}''}(\phi_r)R_{\hat{j}'}(\phi_y)R_{\hat{i}}(\phi_p), \quad (1)$$

where the operators are applied from right to left—first pitch, then yaw, then roll—and where \hat{j}' is the transformed \hat{j} vector after the pitch rotation, and \hat{k}'' is the doubly transformed \hat{k} vector after the pitch and yaw rotations. Now we make use of the fact that we generate the same net rotation if we apply the sequence in *reverse* order

*To avoid any confusion, note that FATEPEN uses a pitch-yaw-roll rotation sequence, which we follow in this report, but much of the aerospace industry uses a yaw-pitch-roll rotation sequence.

about the *fixed* axes.* This means that

$$R = R_{\hat{\mathbf{k}}}(\phi_r)R_{\hat{\mathbf{j}}}(\phi_y)R_{\hat{\mathbf{i}}}(\phi_p) = R_{\hat{\mathbf{i}}}(\phi_p)R_{\hat{\mathbf{j}}}(\phi_y)R_{\hat{\mathbf{k}}}(\phi_r) \quad (2)$$

and we only have to concern ourselves with rotations about fixed axes. Table 1 lists all $3 \times 3 = 9$ combinations of rotations applied to the unit vectors.

Table 1. Pitch, yaw, and roll rotations of unit vectors

Rotation	$\hat{\mathbf{i}}$	$\hat{\mathbf{j}}$	$\hat{\mathbf{k}}$
Pitch: $R_{\hat{\mathbf{i}}}(\phi_p)$	$\hat{\mathbf{i}}$	$\cos \phi_p \hat{\mathbf{j}} + \sin \phi_p \hat{\mathbf{k}}$	$-\sin \phi_p \hat{\mathbf{j}} + \cos \phi_p \hat{\mathbf{k}}$
Yaw: $R_{\hat{\mathbf{j}}}(\phi_y)$	$\cos \phi_y \hat{\mathbf{i}} - \sin \phi_y \hat{\mathbf{k}}$	$\hat{\mathbf{j}}$	$\sin \phi_y \hat{\mathbf{i}} + \cos \phi_y \hat{\mathbf{k}}$
Roll: $R_{\hat{\mathbf{k}}}(\phi_r)$	$\cos \phi_r \hat{\mathbf{i}} + \sin \phi_r \hat{\mathbf{j}}$	$-\sin \phi_r \hat{\mathbf{i}} + \cos \phi_r \hat{\mathbf{j}}$	$\hat{\mathbf{k}}$

3.1 Orientation of a Cylinder

The cylinder has a length L and diameter D . In standard orientation the center of the cylinder is at the origin and the length is aligned with the z -axis.

3.1.1 Projected Areas from Cylinder Orientation

So let us apply a pitch-yaw-roll rotation sequence to the cylinder in standard orientation and work out the projected areas on the orthogonal y - z , x - z , and x - y planes. The orientation of the cylinder is completely described by its axis of rotation, which is along the unit vector $\hat{\mathbf{k}}$. Let $\hat{\mathbf{u}}$ be the final orientation of the $\hat{\mathbf{k}}$ vector. Then, using Table 1, we get

$$\begin{aligned} \hat{\mathbf{u}} &= R_{\hat{\mathbf{i}}}(\phi_p)R_{\hat{\mathbf{j}}}(\phi_y)\hat{\mathbf{k}} \\ &= R_{\hat{\mathbf{i}}}(\phi_p)(\sin \phi_y \hat{\mathbf{i}} + \cos \phi_y \hat{\mathbf{k}}) \\ &= \sin \phi_y \hat{\mathbf{i}} + \cos \phi_y (-\sin \phi_p \hat{\mathbf{j}} + \cos \phi_p \hat{\mathbf{k}}). \end{aligned} \quad (3)$$

It is also convenient to specify $\hat{\mathbf{u}}$ in terms of the direction cosines:

$$\hat{\mathbf{u}} = \cos \phi_1 \hat{\mathbf{i}} + \cos \phi_2 \hat{\mathbf{j}} + \cos \phi_3 \hat{\mathbf{k}}, \quad (4)$$

*See Appendix A for a derivation of this result.

where $\cos \phi_1 = \hat{\mathbf{u}} \cdot \hat{\mathbf{i}}$, $\cos \phi_2 = \hat{\mathbf{u}} \cdot \hat{\mathbf{j}}$, and $\cos \phi_3 = \hat{\mathbf{u}} \cdot \hat{\mathbf{k}}$. Comparing Eqs. 3 and 4 gives the correspondence

$$\begin{aligned}\phi_1 &= \cos^{-1}(\sin \phi_y), \\ \phi_2 &= \cos^{-1}(-\sin \phi_p \cos \phi_y), \\ \phi_3 &= \cos^{-1}(\cos \phi_p \cos \phi_y).\end{aligned}\tag{5}$$

Let L be the length of the cylinder and D be its diameter. Then the projected areas onto the orthogonal planes are

$$\begin{aligned}A_{yz} &= LD \left| \cos \left(\frac{\pi}{2} - \phi_1 \right) \right| + \frac{\pi}{4} D^2 |\cos \phi_1| = LD |\sin \phi_1| + \frac{\pi}{4} D^2 |\cos \phi_1| \\ A_{xz} &= LD \left| \cos \left(\frac{\pi}{2} - \phi_2 \right) \right| + \frac{\pi}{4} D^2 |\cos \phi_2| = LD |\sin \phi_2| + \frac{\pi}{4} D^2 |\cos \phi_2|. \\ A_{xy} &= LD \left| \cos \left(\frac{\pi}{2} - \phi_3 \right) \right| + \frac{\pi}{4} D^2 |\cos \phi_3| = LD |\sin \phi_3| + \frac{\pi}{4} D^2 |\cos \phi_3|\end{aligned}\tag{6}$$

Therefore, given the pitch and yaw of the cylinder, Eqs. 5 and 6 give us the projected areas.

3.1.2 Cylinder Orientation from Projected Areas

Next, we work out the inverse problem of determining the pitch and yaw from the measured projected areas. Thus, given the length L , diameter D , and the projected areas of an RCC onto the orthogonal planes, we want to solve for the orientation of the RCC. More specifically, we seek the FATEPEN pitch and yaw rotation sequence that will bring about this orientation.* It is convenient in what follows to specify the final orientation in terms of the polar angle, θ , measured from the z -axis and ϕ , the azimuthal angle measured from the x -axis in the x - y plane:

$$\hat{\mathbf{u}} = \sin \theta \cos \phi \hat{\mathbf{i}} + \sin \theta \sin \phi \hat{\mathbf{j}} + \cos \theta \hat{\mathbf{k}}.\tag{7}$$

There is no loss of generality if we restrict $\hat{\mathbf{u}}$ to lie in the first octant of the unit sphere, so that

$$0 \leq \theta \leq \pi/2 \quad \text{and} \quad 0 \leq \phi \leq \pi/2.\tag{8}$$

*Ordinarily we would need pitch, yaw, and roll to completely specify orientation, but placing the axis of the RCC along the z (roll) axis eliminates the need to consider roll.

Comparing Eqs. 4 and 7 gives

$$\theta = \phi_3 \quad \text{and} \quad \phi = \tan^{-1} \left(\frac{\cos \phi_2}{\cos \phi_1} \right). \quad (9)$$

All the formulas in Eq. 6 are of the form

$$a \sin \alpha + b \cos \alpha = A_p, \quad (10)$$

with $\alpha = \phi_1, \phi_2$, or ϕ_3 , $a = LD$, $b = \pi D^2/4$, and A_p the projected area, A_{yz} , A_{xz} , or A_{xy} . We can solve this for the angle α by first introducing another angle β , defined by

$$\beta \equiv \tan^{-1}(b/a), \quad (11)$$

which gives $a = \sqrt{a^2 + b^2} \cos \beta$ and $b = \sqrt{a^2 + b^2} \sin \beta$, and then Eq. 10 becomes

$$\sqrt{a^2 + b^2} \cos \beta \sin \alpha + \sqrt{a^2 + b^2} \sin \beta \cos \alpha = \sqrt{a^2 + b^2} \sin(\alpha + \beta) = A_p. \quad (12)$$

Now it is easy to show that $\sqrt{a^2 + b^2} = A_{\max}$, the maximum presented area of the RCC, so that $0 \leq A_p/\sqrt{a^2 + b^2} \leq 1$, and therefore

$$\sin(\alpha + \beta) = \frac{A_p}{\sqrt{a^2 + b^2}}. \quad (13)$$

Two possible solutions to this equation are

$$\begin{aligned} \alpha &= \sin^{-1} \left(\frac{A_p}{\sqrt{a^2 + b^2}} \right) - \tan^{-1} \left(\frac{b}{a} \right) \quad \text{or} \\ \alpha &= \pi - \tan^{-1} \left(\frac{b}{a} \right) - \sin^{-1} \left(\frac{A_p}{\sqrt{a^2 + b^2}} \right). \end{aligned} \quad (14)$$

In practice we try both, so this gives $2^3 = 8$ possible combinations for ϕ_1, ϕ_2 , and ϕ_3 . But by imposing the constraint that

$$\cos^2 \phi_1 + \cos^2 \phi_2 + \cos^2 \phi_3 = 1, \quad (15)$$

we will find that only one combination will work. Once ϕ_1, ϕ_2 , and ϕ_3 have thus been found, we return to Eq. 9 to get θ and ϕ .

Next we need a rotation that will align $\hat{\mathbf{k}}$ with $\hat{\mathbf{u}}$. It is easy to check that $R_{\hat{\mathbf{e}}}(\theta)$, where

$$\hat{\mathbf{e}} = \frac{\hat{\mathbf{k}} \times \hat{\mathbf{u}}}{|\hat{\mathbf{k}} \times \hat{\mathbf{u}}|} = -\sin \phi \hat{\mathbf{i}} + \cos \phi \hat{\mathbf{j}}, \quad (16)$$

is this rotation. That is, $R_{\hat{\mathbf{e}}}(\theta)\hat{\mathbf{k}} = \hat{\mathbf{u}}$. The quaternion representation of this rotation is

$$q_{\hat{\mathbf{e}}}(\theta) = \cos(\theta/2) + \hat{\mathbf{e}} \sin(\theta/2) = \cos(\theta/2) + (-\sin \phi \hat{\mathbf{i}} + \cos \phi \hat{\mathbf{j}}) \sin(\theta/2). \quad (17)$$

Finally, this quaternion can be factored into a pitch-yaw-roll rotation sequence.³ The prescription is as follows:

1. Set $p_0 = \cos(\theta/2)$, $p_1 = -\sin \phi \sin(\theta/2)$, $p_2 = \cos \phi \sin(\theta/2)$, $p_3 = 0$.
2. Set $A = p_1 p_2 - p_0 p_3$, $B = p_1^2 - p_3^2$, $D = p_0^2 - p_2^2$.
3. Then $\phi_r = \tan^{-1}[-2A/(B + D)]$.
4. Set $c_0 = \cos(\phi_r/2)$ and $c_3 = \sin(\phi_r/2)$.
5. Set $q_0 = p_0 c_0 + p_3 c_3$, $q_1 = p_1 c_0 - p_2 c_3$, $q_2 = p_2 c_0 + p_1 c_3$, $q_3 = p_3 c_0 - p_0 c_3$.
6. Then $\phi_p = 2 \tan^{-1}(q_1/q_0)$ and $\phi_y = 2 \tan^{-1}(q_2/q_0)$.

Thus, we accomplish what we set out to do: obtain the pitch and yaw rotation sequence that will give the desired projected areas. For a cylinder there are actually 4 orientations that give the same projected areas, which are (ϕ_p, ϕ_y) , $(\phi_p, -\phi_y)$, $(-\phi_p, \phi_y)$, and $(-\phi_p, -\phi_y)$.

3.2 Orientation of a Cuboid

The cuboid, or RPP, has a length L , width W , and thickness T , where $L \geq W \geq T$, and is initially oriented so that the length is along the z -axis, the width is along the x -axis and the thickness is along the y -axis. Thus, the initial areas along each of the axes are $A_x = LT$, $A_y = LW$, and $A_z = WT$. We take $\hat{\mathbf{i}}$ to be a unit vector along the x -axis, $\hat{\mathbf{j}}$ to be a unit vector along the y -axis, and $\hat{\mathbf{k}}$ to be a unit vector along the z -axis. Let

$$\hat{\mathbf{u}} = x\hat{\mathbf{i}} + y\hat{\mathbf{j}} + z\hat{\mathbf{k}} \quad \text{with} \quad x^2 + y^2 + z^2 = 1 \quad (18)$$

be a unit vector on the unit sphere. Then the projected area of the cuboid orthogonal to $\hat{\mathbf{u}}$ is

$$A_p(x, y, z) = (A_x \hat{\mathbf{i}} + A_y \hat{\mathbf{j}} + A_z \hat{\mathbf{k}}) \cdot \hat{\mathbf{u}} = A_x x + A_y y + A_z z. \quad (19)$$

As we vary the direction of $\hat{\mathbf{u}}$, the rate of change of the projected area is given by

$$\begin{aligned} D_{\hat{\mathbf{u}}} A_p &= \nabla A_p(x, y, z) \cdot \hat{\mathbf{u}} \\ &= \|\nabla A_p(x, y, z)\| \|\hat{\mathbf{u}}\| \cos \theta \\ &= \|\nabla A_p(x, y, z)\| \cos \theta, \end{aligned} \quad (20)$$

where θ is the angle between the gradient and the unit vector. From this expression, it is clear that the area is maximized when $\cos \theta$ is a maximum, which occurs when $\theta = 0$. The gradient along this direction is

$$\nabla A_p(x, y, z) = \frac{\partial A_p}{\partial x} \hat{\mathbf{i}} + \frac{\partial A_p}{\partial y} \hat{\mathbf{j}} + \frac{\partial A_p}{\partial z} \hat{\mathbf{k}} = A_x \hat{\mathbf{i}} + A_y \hat{\mathbf{j}} + A_z \hat{\mathbf{k}}, \quad (21)$$

and therefore the magnitude of the maximum projected area is

$$A_{\max} = \|\nabla A_p(x, y, z)\| = \sqrt{A_x^2 + A_y^2 + A_z^2}, \quad (22)$$

and the view direction for this maximum is given by the unit vector

$$\hat{\mathbf{u}}_{\max} = \frac{A_x \hat{\mathbf{i}} + A_y \hat{\mathbf{j}} + A_z \hat{\mathbf{k}}}{A_{\max}}. \quad (23)$$

By construction, the minimum projected area is initially oriented along the z -axis, $A_{\min} = A_z$, and is realized along the unit vector $\hat{\mathbf{u}}_{\min} = \hat{\mathbf{k}}$.

3.2.1 Projected Areas from Cuboid Orientation

Now let us work out the projected areas from a given pitch-yaw-roll rotation sequence, R . Again, making use of Eq. 2 and applying the rotations from Table 1 successively gives

$$\begin{aligned} R_{\hat{\mathbf{j}}}(\phi_y) R_{\hat{\mathbf{k}}}(\phi_r) A_x \hat{\mathbf{i}} &= A_x \cos \phi_r (\cos \phi_y \hat{\mathbf{i}} - \sin \phi_y \hat{\mathbf{k}}) + A_x \sin \phi_r \hat{\mathbf{j}}, \\ R_{\hat{\mathbf{j}}}(\phi_y) R_{\hat{\mathbf{k}}}(\phi_r) A_y \hat{\mathbf{j}} &= -A_y \sin \phi_r (\cos \phi_y \hat{\mathbf{i}} - \sin \phi_y \hat{\mathbf{k}}) + A_y \cos \phi_r \hat{\mathbf{j}}, \\ R_{\hat{\mathbf{j}}}(\phi_y) R_{\hat{\mathbf{k}}}(\phi_r) A_z \hat{\mathbf{k}} &= A_z (\sin \phi_y \hat{\mathbf{i}} + \cos \phi_y \hat{\mathbf{k}}). \end{aligned} \quad (24)$$

Collecting terms we get

$$\begin{aligned}
R_{\hat{\mathbf{j}}}(\phi_y)R_{\hat{\mathbf{k}}}(\phi_r)A_x\hat{\mathbf{i}} &= A_x \cos \phi_r \cos \phi_y \hat{\mathbf{i}} + A_x \sin \phi_r \hat{\mathbf{j}} - A_x \cos \phi_r \sin \phi_y \hat{\mathbf{k}}, \\
R_{\hat{\mathbf{j}}}(\phi_y)R_{\hat{\mathbf{k}}}(\phi_r)A_y\hat{\mathbf{j}} &= -A_y \sin \phi_r \cos \phi_y \hat{\mathbf{i}} + A_y \cos \phi_r \hat{\mathbf{j}} + A_y \sin \phi_r \sin \phi_y \hat{\mathbf{k}}, \\
R_{\hat{\mathbf{j}}}(\phi_y)R_{\hat{\mathbf{k}}}(\phi_r)A_z\hat{\mathbf{k}} &= A_z \sin \phi_y \hat{\mathbf{i}} + A_z \cos \phi_y \hat{\mathbf{k}}.
\end{aligned} \tag{25}$$

Finally, applying pitch, we get

$$\begin{aligned}
RA_x\hat{\mathbf{i}} &= + A_x \cos \phi_r \cos \phi_y \hat{\mathbf{i}} \\
&\quad + A_x \sin \phi_r (\cos \phi_p \hat{\mathbf{j}} + \sin \phi_p \hat{\mathbf{k}}) \\
&\quad - A_x \cos \phi_r \sin \phi_y (-\sin \phi_p \hat{\mathbf{j}} + \cos \phi_p \hat{\mathbf{k}}), \\
RA_y\hat{\mathbf{j}} &= - A_y \sin \phi_r \cos \phi_y \hat{\mathbf{i}} \\
&\quad + A_y \cos \phi_r (\cos \phi_p \hat{\mathbf{j}} + \sin \phi_p \hat{\mathbf{k}}) \\
&\quad + A_y \sin \phi_r \sin \phi_y (-\sin \phi_p \hat{\mathbf{j}} + \cos \phi_p \hat{\mathbf{k}}), \\
RA_z\hat{\mathbf{k}} &= + A_z \sin \phi_y \hat{\mathbf{i}} \\
&\quad + A_z \cos \phi_y (-\sin \phi_p \hat{\mathbf{j}} + \cos \phi_p \hat{\mathbf{k}}).
\end{aligned} \tag{26}$$

and collecting terms,

$$\begin{aligned}
RA_x\hat{\mathbf{i}} &= + A_x \cos \phi_r \cos \phi_y \hat{\mathbf{i}} \\
&\quad + A_x (\sin \phi_r \cos \phi_p + \cos \phi_r \sin \phi_y \sin \phi_p) \hat{\mathbf{j}} \\
&\quad + A_x (\sin \phi_r \sin \phi_p - \cos \phi_r \sin \phi_y \cos \phi_p) \hat{\mathbf{k}},
\end{aligned} \tag{27}$$

$$\begin{aligned}
RA_y\hat{\mathbf{j}} &= - A_y \sin \phi_r \cos \phi_y \hat{\mathbf{i}} \\
&\quad + A_y (\cos \phi_r \cos \phi_p - \sin \phi_r \sin \phi_y \sin \phi_p) \hat{\mathbf{j}} \\
&\quad + A_y (\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p) \hat{\mathbf{k}},
\end{aligned} \tag{28}$$

$$RA_z\hat{\mathbf{k}} = + A_z \sin \phi_y \hat{\mathbf{i}} - A_z \cos \phi_y \sin \phi_p \hat{\mathbf{j}} + A_z \cos \phi_y \cos \phi_p \hat{\mathbf{k}}. \tag{29}$$

Now let A_{xy} be the projection on the x - y plane, A_{xz} be the projection on the x - z plane, and A_{yz} be the projection on the y - z plane. Then we have

$$A_{xy} = [R(A_x\hat{\mathbf{i}} + A_y\hat{\mathbf{j}} + A_z\hat{\mathbf{k}})] \cdot \hat{\mathbf{k}}, \tag{30}$$

$$A_{xz} = [R(A_x\hat{\mathbf{i}} + A_y\hat{\mathbf{j}} + A_z\hat{\mathbf{k}})] \cdot \hat{\mathbf{j}}, \tag{31}$$

$$A_{yz} = [R(A_x\hat{\mathbf{i}} + A_y\hat{\mathbf{j}} + A_z\hat{\mathbf{k}})] \cdot \hat{\mathbf{i}}. \tag{32}$$

Three is the maximum number of sides that can project unto a plane for a cuboid, so we can include all the contributions by using the absolute value. Thus, we get the projected areas

$$\begin{aligned} A_{xy} = & A_x |\sin \phi_r \sin \phi_p - \cos \phi_r \sin \phi_y \cos \phi_p| + \\ & A_y |\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p| + \\ & A_z |\cos \phi_y \cos \phi_p|, \end{aligned} \quad (33)$$

$$\begin{aligned} A_{xz} = & A_x |\sin \phi_r \cos \phi_p + \cos \phi_r \sin \phi_y \sin \phi_p| + \\ & A_y |\cos \phi_r \cos \phi_p - \sin \phi_r \sin \phi_y \sin \phi_p| + \\ & A_z |\cos \phi_y \sin \phi_p|, \end{aligned} \quad (34)$$

$$A_{yz} = A_x |\cos \phi_r \cos \phi_y| + A_y |\sin \phi_r \cos \phi_y| + A_z |\sin \phi_y|. \quad (35)$$

3.2.2 Cuboid Orientation from Projected Areas

Next, given measured values for A_{xy} , A_{xz} , and A_{yz} , we want to solve Eqs. 33–35 for ϕ_p , ϕ_y , and ϕ_r . This is a system of 3 simultaneous nonlinear equations, which can be solved numerically by Newton's method in 3 dimensions. Define

$$\begin{aligned} f(\phi_p, \phi_y, \phi_r) \equiv & A_x |\sin \phi_r \sin \phi_p - \cos \phi_r \sin \phi_y \cos \phi_p| + \\ & A_y |\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p| + \\ & A_z |\cos \phi_y \cos \phi_p| - A_{xy}, \end{aligned} \quad (36)$$

$$\begin{aligned} g(\phi_p, \phi_y, \phi_r) \equiv & A_x |\sin \phi_r \cos \phi_p + \cos \phi_r \sin \phi_y \sin \phi_p| + \\ & A_y |\cos \phi_r \cos \phi_p - \sin \phi_r \sin \phi_y \sin \phi_p| + \\ & A_z |\cos \phi_y \sin \phi_p| - A_{xz}, \end{aligned} \quad (37)$$

$$h(\phi_p, \phi_y, \phi_r) \equiv A_x |\cos \phi_r \cos \phi_y| + A_y |\sin \phi_r \cos \phi_y| + A_z |\sin \phi_y| - A_{yz}. \quad (38)$$

Then the problem is to find the roots of f , g , and h . Let A be the matrix of partial derivatives

$$A = \begin{bmatrix} f_{\phi_p}(\phi_p, \phi_y, \phi_r) & f_{\phi_y}(\phi_p, \phi_y, \phi_r) & f_{\phi_r}(\phi_p, \phi_y, \phi_r) \\ g_{\phi_p}(\phi_p, \phi_y, \phi_r) & g_{\phi_y}(\phi_p, \phi_y, \phi_r) & g_{\phi_r}(\phi_p, \phi_y, \phi_r) \\ h_{\phi_p}(\phi_p, \phi_y, \phi_r) & h_{\phi_y}(\phi_p, \phi_y, \phi_r) & h_{\phi_r}(\phi_p, \phi_y, \phi_r) \end{bmatrix} \quad (39)$$

where

$$f_{\phi_p}(\phi_p, \phi_y, \phi_r) = \frac{\partial f(\phi_p, \phi_y, \phi_r)}{\partial \phi_p} \quad (40)$$

and so on for the other partial derivatives. For this we need the derivative of the absolute value:

$$\frac{d}{dx}|u| = \frac{d}{dx}\sqrt{u^2} = \frac{1}{2}(u^2)^{-1/2}2uu' = \frac{uu'}{\sqrt{u^2}} = \frac{uu'}{|u|} = \text{sgn}(u) u', \quad (41)$$

where $\text{sgn}(x)$ is the sign function:

$$\text{sgn}(x) = \begin{cases} +1 & \text{if } x > 0 \\ 0 & \text{if } x = 0. \\ -1 & \text{if } x < 0 \end{cases} \quad (42)$$

Then from Eqs. 36–38 we get

$$\begin{aligned} f_{\phi_p}(\phi_p, \phi_y, \phi_r) = & +A_x \text{sgn}(\sin \phi_r \sin \phi_p - \cos \phi_r \sin \phi_y \cos \phi_p) \\ & (\sin \phi_r \cos \phi_p + \cos \phi_r \sin \phi_y \sin \phi_p) \\ & +A_y \text{sgn}(\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p) \\ & (\cos \phi_r \cos \phi_p - \sin \phi_r \sin \phi_y \sin \phi_p) \\ & +A_z \text{sgn}(\cos \phi_y \cos \phi_p)(-\cos \phi_y \sin \phi_p), \end{aligned} \quad (43)$$

$$\begin{aligned} f_{\phi_y}(\phi_p, \phi_y, \phi_r) = & +A_x \text{sgn}(\sin \phi_r \sin \phi_p - \cos \phi_r \sin \phi_y \cos \phi_p) \\ & (-\cos \phi_r \cos \phi_y \sin \phi_p) \\ & +A_y \text{sgn}(\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p) \\ & (\sin \phi_r \cos \phi_y \cos \phi_p) \\ & +A_z \text{sgn}(\cos \phi_y \cos \phi_p)(-\sin \phi_y \cos \phi_p), \end{aligned} \quad (44)$$

$$\begin{aligned} f_{\phi_r}(\phi_p, \phi_y, \phi_r) = & +A_x \text{sgn}(\sin \phi_r \sin \phi_p - \cos \phi_r \sin \phi_y \cos \phi_p) \\ & (\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p) \\ & +A_y \text{sgn}(\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p) \\ & (-\sin \phi_r \sin \phi_p + \cos \phi_r \sin \phi_y \cos \phi_p), \end{aligned} \quad (45)$$

$$\begin{aligned}
g_{\phi_p}(\phi_p, \phi_y, \phi_r) = & +A_x \operatorname{sgn}(\sin \phi_r \cos \phi_p + \cos \phi_r \sin \phi_y \sin \phi_p) \\
& (-\sin \phi_r \sin \phi_p + \cos \phi_r \sin \phi_y \cos \phi_p) \\
& +A_y \operatorname{sgn}(\cos \phi_r \cos \phi_p - \sin \phi_r \sin \phi_y \sin \phi_p) \\
& (-\cos \phi_r \sin \phi_p - \sin \phi_r \sin \phi_y \cos \phi_p) \\
& +A_z \operatorname{sgn}(\cos \phi_y \sin \phi_p)(\cos \phi_y \cos \phi_p), \tag{46}
\end{aligned}$$

$$\begin{aligned}
g_{\phi_y}(\phi_p, \phi_y, \phi_r) = & +A_x \operatorname{sgn}(\sin \phi_r \cos \phi_p + \cos \phi_r \sin \phi_y \sin \phi_p) \\
& (\cos \phi_r \cos \phi_y \sin \phi_p) \\
& +A_y \operatorname{sgn}(\cos \phi_r \cos \phi_p - \sin \phi_r \sin \phi_y \sin \phi_p) \\
& (-\sin \phi_r \cos \phi_y \sin \phi_p) \\
& +A_z \operatorname{sgn}(\cos \phi_y \sin \phi_p)(-\sin \phi_y \sin \phi_p), \tag{47}
\end{aligned}$$

$$\begin{aligned}
g_{\phi_r}(\phi_p, \phi_y, \phi_r) = & +A_x \operatorname{sgn}(\sin \phi_r \cos \phi_p + \cos \phi_r \sin \phi_y \sin \phi_p) \\
& (\cos \phi_r \cos \phi_p - \sin \phi_r \sin \phi_y \sin \phi_p) \\
& +A_y \operatorname{sgn}(\cos \phi_r \cos \phi_p - \sin \phi_r \sin \phi_y \sin \phi_p) \\
& (-\sin \phi_r \cos \phi_p - \cos \phi_r \sin \phi_y \sin \phi_p), \tag{48}
\end{aligned}$$

$$h_{\phi_p}(\phi_p, \phi_y, \phi_r) = 0, \tag{49}$$

$$\begin{aligned}
h_{\phi_y}(\phi_p, \phi_y, \phi_r) = & +A_x \operatorname{sgn}(\cos \phi_r \cos \phi_y)(-\cos \phi_r \sin \phi_y) + \\
& +A_y \operatorname{sgn}(\sin \phi_r \cos \phi_y)(-\sin \phi_r \sin \phi_y) + \\
& +A_z \operatorname{sgn}(\sin \phi_y)(\cos \phi_y), \tag{50}
\end{aligned}$$

$$\begin{aligned}
h_{\phi_r}(\phi_p, \phi_y, \phi_r) = & +A_x \operatorname{sgn}(\cos \phi_r \cos \phi_y)(-\sin \phi_r \cos \phi_y) \\
& +A_y \operatorname{sgn}(\sin \phi_r \cos \phi_y)(\cos \phi_r \cos \phi_y). \tag{51}
\end{aligned}$$

Newton's method for solving this 3-dimensional (3D) problem numerically for pitch, yaw, and roll is expressed by the matrix iteration equation

$$\begin{bmatrix} \delta \phi_p \\ \delta \phi_y \\ \delta \phi_r \end{bmatrix} \equiv \begin{bmatrix} \phi_{p,n+1} - \phi_{p,n} \\ \phi_{y,n+1} - \phi_{y,n} \\ \phi_{r,n+1} - \phi_{r,n} \end{bmatrix} = A^{-1} \begin{bmatrix} f(\phi_p, \phi_y, \phi_r) \\ g(\phi_p, \phi_y, \phi_r) \\ h(\phi_p, \phi_y, \phi_r) \end{bmatrix}, \tag{52}$$

where the matrix A is given by Eq. 39. We pick a starting orientation and continue iterating until either the δ 's fall below a preset tolerance value ϵ or we exceed a maximum number of iterations—in which case we try another starting orientation.

If we write this coefficient matrix (Eq. 39) as

$$A = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}, \quad (53)$$

then the inverse is given by

$$A^{-1} = \frac{1}{\det A} \begin{bmatrix} a_{22}a_{33} - a_{23}a_{32} & a_{13}a_{32} - a_{12}a_{33} & a_{12}a_{23} - a_{13}a_{22} \\ a_{23}a_{31} - a_{21}a_{33} & a_{11}a_{33} - a_{13}a_{31} & a_{13}a_{21} - a_{11}a_{23} \\ a_{21}a_{32} - a_{22}a_{31} & a_{12}a_{31} - a_{11}a_{32} & a_{11}a_{22} - a_{12}a_{21} \end{bmatrix}. \quad (54)$$

Thus, we now have all the ingredients for implementing the proposed solution with the program in Listing 1.

Listing 1. orient.cpp

```

1 // orient.cpp: Given the dimensions of an RPP and projected areas onto three orthogonal planes,
2 // finds a pitch-yaw-roll rotation sequence that will orient the RPP for these projected areas.
3 // The orientation is not unique and will depend upon the initial starting point.
4 // Method of solution makes use of Newton's method in three dimensions.
5 // R. Saucier, October 2015
6
7 #include <iostream>
8 #include <cstdlib>
9 #include <cmath>
10 #include <iomanip>
11 #include <chrono>
12 #include <random>
13
14 inline double sgn( double x ) {
15
16     if ( x > 0. ) return +1.;
17     else if ( x < 0. ) return -1.;
18     else return 0.;
19 }
20
21 int main( int argc, char* argv[] ) {
22
23     const double D2R = M_PI / 180.; // to convert deg to rad
24     const double R2D = 180. / M_PI; // to convert rad to deg
25     const double TOL = 1.e-9; // convergence criterion
26     const int N = 100; // max number of iterations
27
28     double L = 3.; // length
29     double W = 2.; // width
30     double T = 1.; // thickness
31
32     double Ax = L * T; // area of side (initially along i)
33     double Ay = L * W; // area of top (initially along j)
34     double Az = W * T; // area of front (initially along k)
35
36     double A_xy = 4.5; // projected area on x-y plane
37     double A_xz = 6.5; // projected area on x-z plane
38     double A_yz = 3.5; // projected area on y-z plane
39
40     double p, y, r, cp, sp, cy, sy, cr, sr, f, g, h;
41     double e1, e2, e3, e4, e5, e6, e7, e8, e9;
42     double s1, s2, s3, s4, s5, s6, s7, s8, s9;
43     double fp, fy, fr, gp, gy, gr, hp, hy, hr;
44     double a11, a12, a13, a21, a22, a23, a31, a32, a33, det;
45     double b11, b12, b13, b21, b22, b23, b31, b32, b33;
46     double del_p, del_y, del_r;
47
48     // initial estimate can't be zero
49     unsigned int seed = std::chrono::high_resolution_clock::now().time_since_epoch().count();
50     std::mt19937 rng( seed ); // Mersenne Twister engine

```

```

51  std::uniform_real_distribution<double> uniform( 0., M_PI ); // uniform distribution
52  p = uniform( rng );
53  y = uniform( rng );
54  r = uniform( rng );
55
56  if ( argc == 4 ) { // optionally specify initial pitch, yaw, roll on commandline
57
58      p = atof( argv[1] ) * D2R;
59      y = atof( argv[2] ) * D2R;
60      r = atof( argv[3] ) * D2R;
61  }
62
63  for ( int i = 0; i < N; i++ ) {
64
65      cp = cos( p ); sp = sin( p );
66      cy = cos( y ); sy = sin( y );
67      cr = cos( r ); sr = sin( r );
68
69      e1 = sr * sp - cr * sy * cp;
70      e2 = cr * sp + sr * sy * cp;
71      e3 = cy * cp;
72      e4 = sr * cp + cr * sy * sp;
73      e5 = cr * cp - sr * sy * sp;
74      e6 = cy * sp;
75      e7 = cr * cy;
76      e8 = sr * cy;
77      e9 = sy;
78
79      s1 = sgn( e1 );
80      s2 = sgn( e2 );
81      s3 = sgn( e3 );
82      s4 = sgn( e4 );
83      s5 = sgn( e5 );
84      s6 = sgn( e6 );
85      s7 = sgn( e7 );
86      s8 = sgn( e8 );
87      s9 = sgn( e9 );
88
89      f = Ax * fabs( e1 ) + Ay * fabs( e2 ) + Az * fabs( e3 ) - A_xy;
90      g = Ax * fabs( e4 ) + Ay * fabs( e5 ) + Az * fabs( e6 ) - A_xz;
91      h = Ax * fabs( e7 ) + Ay * fabs( e8 ) + Az * fabs( e9 ) - A_yz;
92
93      fp = Ax * s1 * ( sr * cp + cr * sy * sp ) + Ay * s2 * ( cr * cp - sr * sy * sp ) + Az * s3 * ( -cy * sp );
94      fy = Ax * s1 * ( -cr * cy * sp ) + Ay * s2 * ( sr * cy * cp ) + Az * s3 * ( -sy * cp );
95      fr = Ax * s1 * ( cr * sp + sr * sy * cp ) + Ay * s2 * ( -sr * sp + cr * sy * cp );
96
97      gp = Ax * s4 * ( -sr * sp + cr * sy * cp ) + Ay * s5 * ( -cr * sp - sr * sy * cp ) + Az * s6 * ( cy * cp );
98      gy = Ax * s4 * ( cr * cy * sp ) + Ay * s5 * ( -sr * cy * sp ) + Az * s6 * ( -sy * sp );
99      gr = Ax * s4 * ( cr * cp - sr * sy * sp ) + Ay * s5 * ( -sr * cp - cr * sy * sp );
100
101      hp = 0.;
102      hy = Ax * s7 * ( -cr * sy ) + Ay * s8 * ( -sr * sy ) + Az * s9 * ( cy );
103      hr = Ax * s7 * ( -sr * cy ) + Ay * s8 * ( cr * cy );
104
105      a11 = fp; a12 = fy; a13 = fr;
106      a21 = gp; a22 = gy; a23 = gr;
107      a31 = hp; a32 = hy; a33 = hr;
108      det = a11 * ( a22 * a33 - a23 * a32 ) + a12 * ( a23 * a31 - a21 * a33 ) + a13 * ( a21 * a32 - a22 * a31 );
109      if ( det == 0 ) {
110
111          std::cerr << "bad initial orientation: " << p * R2D << "\t" << y * R2D << "\t" << r * R2D << std::endl
112              << "program " << argv[0] << " stopped" << std::endl;
113          exit( EXIT_FAILURE );
114      }
115
116      b11 = ( a22 * a33 - a23 * a32 ) / det;
117      b12 = ( a13 * a32 - a12 * a33 ) / det;
118      b13 = ( a12 * a23 - a13 * a22 ) / det;
119      b21 = ( a23 * a31 - a21 * a33 ) / det;
120      b22 = ( a11 * a33 - a13 * a31 ) / det;
121      b23 = ( a13 * a21 - a11 * a23 ) / det;
122      b31 = ( a21 * a32 - a22 * a31 ) / det;
123      b32 = ( a12 * a31 - a11 * a32 ) / det;
124      b33 = ( a11 * a22 - a12 * a21 ) / det;
125
126      del_p = b11 * f + b12 * g + b13 * h;
127      del_y = b21 * f + b22 * g + b23 * h;
128      del_r = b31 * f + b32 * g + b33 * h;
129
130      p -= del_p;
131      y -= del_y;
132      r -= del_r;
133
134      if ( fabs( del_p ) < TOL && fabs( del_y ) < TOL && fabs( del_r ) < TOL ) break;
135

```

```

136     if ( i == N-1 ) {
137
138         std::cerr << "failed to converge after " << N << " iterations: f = " << f << " g = " << g << " h = " << h << std
            ::endl
139         << "try another initial orientation" << std::endl
140         << "program " << argv[0] << " stopped" << std::endl;
141         exit( EXIT_FAILURE );
142     }
143 }
144 std::cout << std::setprecision(9) << std::fixed;
145 std::cout << "pitch = " << fmod( p * R2D, 360. ) << std::endl
146 << "yaw = " << fmod( y * R2D, 360. ) << std::endl
147 << "roll = " << fmod( r * R2D, 360. ) << std::endl;
148
149 return EXIT_SUCCESS;
150 }

```

The program may be compiled and run with the following commands:

```

1  c++ -O2 -Wall -std=c++11 -o orient orient.cpp -lm
2  ./orient

```

4. Results and Discussion

We now have

- a method for computing the projected areas on orthogonal planes, given the cylinder or cuboid orientation, and
- a method for determining the pitch-yaw-roll rotation sequence to bring about the orientation, given the projected area measurements.

This means that we have a way to check the results. We will show that projected area measurements lead to a unique orientation for a cylinder, but that is not the case for a cuboid.

4.1 Cylinder Orientation Sample Case

Consider an RCC with $L = 1$, $D = 1$, $\phi_p = 30^\circ$, and $\phi_y = 15^\circ$. The projected areas are found to be $A_{yz} = 1.16920$, $A_{xz} = 1.25496$, and $A_{xy} = 1.20494$. Using these values for the projected areas, the program in Listing 2 computes $\phi_p = \pm 30^\circ$ and $\phi_y = \pm 15^\circ$, with the 4 possible orientations displayed in Fig. 2.

Listing 2. rcc.cpp

```

1  // rcc.cpp: compute pitch and yaw from area projections for an RCC (sample case)
2  // R. Saucier, June 2006 (Revised October 2015)
3
4  #include "Rotation.h"
5  #include <iostream>
6  #include <cmath>
7  #include <cstdlib>
8  #include <cassert>
9  #include <iomanip>
10 using namespace std;
11
12 double angle1( double a, double b, double c ) { return asin( c / sqrt( a * a + b * b ) ) - atan( b / a ); }
13 double angle2( double a, double b, double c ) { return M_PI - asin( c / sqrt( a * a + b * b ) ) - atan( b / a ); }
14
15 int main( void ) {

```

Approved for public release; distribution is unlimited.


```

16
17     const double L = 1., D = 1.;
18     const double A      = L * D;
19     const double B      = 0.25 * M_PI * D * D;
20     const double A_MIN = A < B ? A : B;
21     const double A_MAX = sqrt( A * A + B * B );
22
23     cout << setprecision(6) << fixed;
24     cout << "L      = " << L << endl;
25     cout << "D      = " << D << endl;
26     cout << "A_MIN = " << A_MIN << endl;
27     cout << "A_MAX = " << A_MAX << endl;
28
29     double A_xy = 1.20494; // projected area on x-y plane
30     double A_xz = 1.25496; // projected area on x-z plane
31     double A_yz = 1.16920; // projected area on y-z plane
32
33     cout << "A_xy = " << A_xy << endl;
34     cout << "A_xz = " << A_xz << endl;
35     cout << "A_yz = " << A_yz << endl;
36
37     assert( A_MIN <= A_xy && A_xy <= A_MAX );
38     assert( A_MIN <= A_yz && A_yz <= A_MAX );
39     assert( A_MIN <= A_xz && A_xz <= A_MAX );
40
41     double phi_x[ 2 ], phi_y[ 2 ], phi_z[ 2 ];
42     phi_x[0] = angle1( A, B, A_yz );
43     phi_x[1] = angle2( A, B, A_yz );
44
45     phi_y[0] = angle1( A, B, A_xz );
46     phi_y[1] = angle2( A, B, A_xz );
47
48     phi_z[0] = angle1( A, B, A_xy );
49     phi_z[1] = angle2( A, B, A_xy );
50
51     double d, delta = 1.e36;
52     int ii = 0, jj = 0, kk = 0;
53     for ( int i = 0; i < 2; i++ ) {
54         for ( int j = 0; j < 2; j++ ) {
55             for ( int k = 0; k < 2; k++ ) {
56
57                 d = cos( phi_x[i] ) * cos( phi_x[i] ) +
58                     cos( phi_y[j] ) * cos( phi_y[j] ) +
59                     cos( phi_z[k] ) * cos( phi_z[k] );
60                 if ( fabs( d - 1. ) < delta ) {
61                     ii = i;
62                     jj = j;
63                     kk = k;
64                     delta = fabs( d - 1. );
65                 }
66             }
67         }
68     }
69
70     double th = phi_z[kk];
71     double ph = atan( cos( phi_y[jj] ) / cos( phi_x[ii] ) );
72
73     cout << "Derived Angles (deg): " << endl
74         << "   phi_x: " << phi_x[ii] * va::R2D << endl
75         << "   phi_y: " << phi_y[jj] * va::R2D << endl
76         << "   phi_z: " << phi_z[kk] * va::R2D << endl
77         << "   theta: " << th * va::R2D << endl
78         << "   phi:   " << ph * va::R2D << endl;
79
80     va::Vector u = sin( th ) * cos( ph ) * va::Vector( 1., 0., 0. ) +
81                 sin( th ) * sin( ph ) * va::Vector( 0., 1., 0. ) +
82                 cos( th ) * va::Vector( 0., 0., 1. );
83
84     va::Rotation R( va::Vector( 0., 0., 1. ), u ); // find rotation that takes k to u
85     va::sequence s = factor( R, va::XYZ );
86
87     cout << "pyr = " << +s.first * va::R2D << "\t" << +s.second * va::R2D << "\t" << s.third * va::R2D << endl;
88     cout << "pyr = " << +s.first * va::R2D << "\t" << -s.second * va::R2D << "\t" << s.third * va::R2D << endl;
89     cout << "pyr = " << -s.first * va::R2D << "\t" << +s.second * va::R2D << "\t" << s.third * va::R2D << endl;
90     cout << "pyr = " << -s.first * va::R2D << "\t" << -s.second * va::R2D << "\t" << s.third * va::R2D << endl;
91
92     cout << "Total effective yaw = " << acos( cos( s.first ) * cos( s.second ) ) * va::R2D << endl;
93
94     return EXIT_SUCCESS;
95 }

```

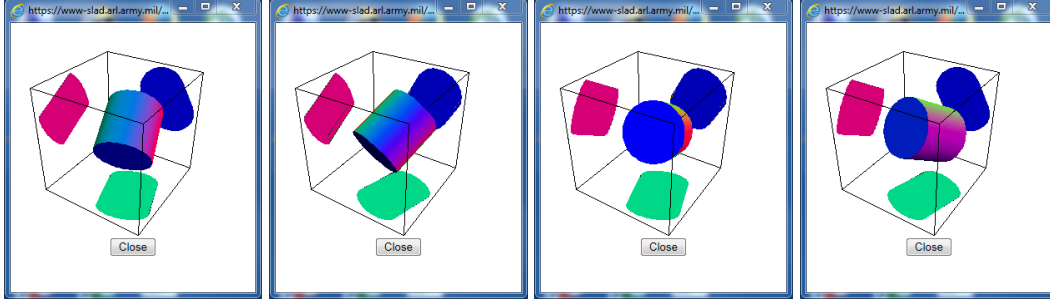


Fig. 2. An oriented cylinder for $\phi_p = \pm 30^\circ$ and $\phi_y = \pm 15^\circ$ is shown with its projected area in the x - y plane in dark blue, the x - z plane in green, and the y - z plane in red. All of these have the same projected areas on each of the orthogonal planes. The actual orientations are not quite the same, but these are superficial differences since the effective yaw angle and the impact angle as defined by FATEPEN are all the same (33.2259° in this example) and thus give the same results for plate impact.

4.2 Cuboid Orientation Sample Case

Consider an RPP with $L = 3$ cm, $W = 2$ cm, and $T = 1$ cm, and let the projected areas be $A_{xy} = 4.5$ cm², $A_{xz} = 6.5$ cm², $A_{yz} = 3.5$ cm². When this information is given to the `orient.cpp` program (Listing 1), it finds 4 different orientations that will work, as displayed in Fig. 3, demonstrating that the orientation is not unique.

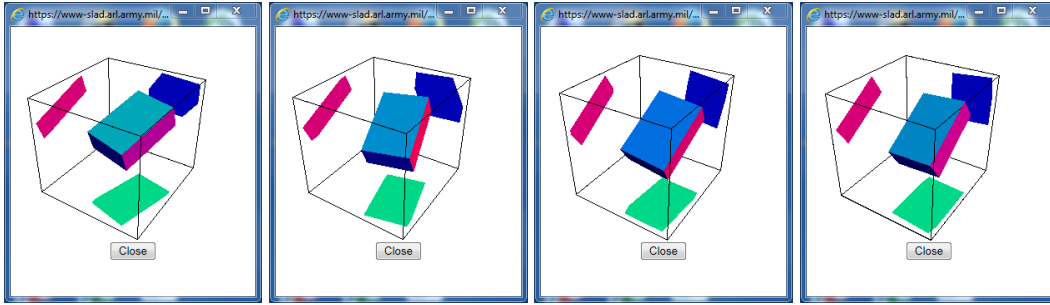


Fig. 3. The projected area on the orthogonal planes does not uniquely determine the cuboid orientation. The oriented cuboid ($L = 3$ cm, $W = 2$ cm, $T = 1$ cm) is shown with its projected area in the x - y plane in dark blue (4.5 cm²), the x - z plane in green (6.5 cm²), and the y - z plane in red (3.5 cm²). All 4 have the same projected areas on each of the orthogonal planes, although we see that the actual orientations are not the same. One consequence of this is that the *impact angle*, μ , which is the minimum angle that a face makes with the x - y plane, along with the *effective yaw angle*, ϕ_{eff} , have different values for the 4 orientations—and this will lead to different predictions from FATEPEN.

Next, we want to see what difference, if any, these different orientations will make in FATEPEN. The cuboid penetrator is taken to be steel 4140 with a Brinell hardness

of 300. It has a length of 3 cm = 1.1811 inches, width of 2 cm = 0.7874 inch, and thickness of 1 cm = 0.3937 inch. Using a steel density of 7.83 g/cm³, it has a mass of 725 gr. The plate target is taken to be 0.25-inch steel with a Brinell hardness of 150. All impacts were at 0° obliquity. The cuboid is initially aligned so that its length is along the z -axis, its width along the x -axis, and its thickness along the y -axis. Then it is given the pitch-yaw-roll rotation sequence listed in Table 2 to give it the orientation shown in Fig. 3, resulting in the following projected areas: $A_{xy} = 4.5$ cm², $A_{xz} = 6.5$ cm², and $A_{yz} = 3.5$ cm². The target is taken to lie in the x - y plane. This table also lists the residual mass m_r , residual velocity v_r , and limit velocity v_L for the 4 orientations. We see that there are significant differences in the residual velocities. It is important to emphasize that all 4 orientations have the same projected areas.

Table 2. FATEPEN predictions for a 725-gr steel cuboid with a striking velocity of 1500 f/s impacting a 0.25-inch mild steel plate using version 3.3.10.3. In each case, the projected areas on the orthogonal planes are the same. Note, in particular, the sensitivity of the residual velocity, v_r .

Pitch (deg)	Yaw (deg)	Roll (deg)	μ (deg)	ϕ_{eff} (deg)	m_r (gr)	v_r (f/s)	v_L (f/s)
-160.576322	193.931472	1.054946	23.7	23.7	720.341	841.729	1194.12
-166.139458	299.729320	5.443168	61.2	61.2	723.672	547.712	1345.23
176.987194	67.658507	13.759713	67.7	67.7	723.349	376.671	1424.57
205.968278	176.788266	183.822078	26.2	26.2	721.834	830.670	1195.00

4.2.1 Impact Angle and Effective Yaw Angle

Let us also document how the impact angle and effective yaw angles are calculated to check on the values output by FATEPEN. Let us consider the area vector for the front, side, and top of the cuboid. Initially,

$$\mathbf{A}_f = WT \hat{\mathbf{k}}, \quad \mathbf{A}_s = LT \hat{\mathbf{i}}, \quad \text{and} \quad \mathbf{A}_t = LW \hat{\mathbf{j}}. \quad (55)$$

And after the pitch-yaw-roll rotation sequence, these vectors can be calculated by adapting Eqs. 30–32:

$$\mathbf{A}'_f = WT [\sin \phi_y \hat{\mathbf{i}} - \cos \phi_y \sin \phi_p \hat{\mathbf{j}} + \cos \phi_y \cos \phi_p \hat{\mathbf{k}}], \quad (56)$$

$$\begin{aligned} \mathbf{A}'_s = LT [& (\cos \phi_r \cos \phi_y) \hat{\mathbf{i}} + \\ & (\sin \phi_r \cos \phi_p + \cos \phi_r \sin \phi_y \sin \phi_p) \hat{\mathbf{j}} + \\ & (\sin \phi_r \sin \phi_p - \cos \phi_r \sin \phi_y \cos \phi_p) \hat{\mathbf{k}}], \end{aligned} \quad (57)$$

$$\begin{aligned} \mathbf{A}'_t = LW[(-\sin \phi_r \cos \phi_y)\hat{\mathbf{i}} + \\ (\cos \phi_r \cos \phi_p - \sin \phi_r \sin \phi_y \sin \phi_p)\hat{\mathbf{j}} + \\ (\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p)\hat{\mathbf{k}}]. \end{aligned} \quad (58)$$

The *effective yaw angle*, ϕ_{eff} , is the angle between the length of the cuboid and the target normal. It is obtained from the dot product between \mathbf{A}'_t and $\hat{\mathbf{k}}$, so from Eq. 56, $\phi_{\text{eff}} = \cos^{-1}(\cos \phi_y \cos \phi_p)$. However, if this angle turns out to be greater than 90° , then we should use the back face. Therefore, the correct angle is actually

$$\phi_{\text{eff}} = \min[\cos^{-1}(\cos \phi_y \cos \phi_p), \pi - \cos^{-1}(\cos \phi_y \cos \phi_p)]. \quad (59)$$

The *contact angle*, μ , is the angle between each face of the cuboid and the target normal, and the *impact angle*, μ , is the minimum of all the contact angles. Therefore, from Eqs. 55, 56, and 57,

$$\begin{aligned} \mu = \min[& \cos^{-1}(\cos \phi_y \cos \phi_p), \\ & \pi - \cos^{-1}(\cos \phi_y \cos \phi_p), \\ & \cos^{-1}(\sin \phi_r \sin \phi_p - \cos \phi_r \sin \phi_y \cos \phi_p), \\ & \pi - \cos^{-1}(\sin \phi_r \sin \phi_p - \cos \phi_r \sin \phi_y \cos \phi_p), \\ & \cos^{-1}(\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p), \\ & \pi - \cos^{-1}(\cos \phi_r \sin \phi_p + \sin \phi_r \sin \phi_y \cos \phi_p)]. \end{aligned} \quad (60)$$

Applying these formulas for the 4 orientations shown in Table 2, we find $\mu = 23.7454^\circ$, $\mu = 30.4564^\circ$, $\mu = 24.5429^\circ$, and $\mu = 26.1524^\circ$. Notice that 2 of these angles disagree with the values output by FATEPEN as listed in Table 2. Even though the FATEPEN documentation states that μ is the minimum contact angle, it seems to always equal the effective yaw angle instead.

A newer version of FATEPEN (3.3.18.0) was also run,* which produced the results listed in Table 3.

*I thank Timothy Mallory for making runs with both version 3.3.16.10 and 3.3.18.0, which produced the same results shown in Table 3.

Table 3. FATEPEN predictions for a 725-gr steel cuboid with a striking velocity of 1500 f/s impacting a 0.25-inch mild steel plate using version 3.3.18.0. In each case, the projected areas on the orthogonal planes are the same.

Pitch (deg)	Yaw (deg)	Roll (deg)	μ (deg)	ϕ_{eff} (deg)	m_r (gr)	v_r (f/s)	v_L (f/s)
-160.576322	193.931472	1.054946	23.7	23.7	720.338	757.72	1281.89
-166.139458	299.729320	5.443168	61.2	61.2	723.671	317.05	1456.00
176.987194	67.658507	13.759713	0.0	67.7	...	0.0	1549.32
205.968278	176.788266	183.822078	26.2	26.2	721.833	747.18	1282.89

So version 3.3.18.0 seems to say that the residual velocity can be half the striking velocity, roughly one-fourth the striking velocity, or zero, depending upon angles that we have no way of measuring during the test, even though the presented areas on each of the orthogonal planes are all the same.

5. Conclusions

What are the implications from these results? In many cases, all we have are yaw card estimates of the actual impact presented area, so that at best we only have the projected area on one plane, the x - y plane. This may be adequate for a cylinder, but it will not determine the orientation of a cuboid. What we have shown here is that even if we have ideal measurements of the projected area on orthogonal planes, this is still not enough to resolve the orientation. As far as FATEPEN is concerned, the orientation is specified once the impact angle μ and the yaw angle ϕ_{eff} are known. I am not aware of any measurement that can determine these during the tests. Thus, I think we are justified in stating that this analysis shows that cuboids are not good candidates for FATEPEN validation because the results are highly dependent upon angles that we have no known way of measuring and no way of uniquely computing from the measured projected areas.

What are the implications for trying to model natural fragments in FATEPEN? If we expect to model natural fragments as multifaceted solids, then it seems we have a real problem since we have no way of knowing the many contact angles, let alone the impact angle. On the other hand, if we model natural fragments as the simplest shape that has the same measured presented area, then yawed cylinders offer more promise and can be checked against experiment.

Part of the problem here is that the FATEPEN code turns all shapes into “equivalent

cylinders”.* So while we may be able to compute the contact angle of each face of the cuboid, that is not necessarily the shape that the FATEPEN code is dealing with at impact. We are much better off using cylinders since we can be assured that FATEPEN is using the same shape. Further, it is more robust since we only need to control the impact presented area and there is only one contact angle, which is the angle the RCC face makes with the target normal. Once we know the impact presented area and the cylinder dimensions, the impact angle is uniquely determined. See Appendix B for an implementation of this procedure.

*See Yatteau et al.,⁵ Section 2.1.4 on p. 2-5 and Section 4.1 on p. 4-1.

6. References

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Appendix A. Formula for an Euler Sequence of Rotations

Let the rotation be a Euler sequence about 3 (distinct or repeated) principal axes unit-vectors $\hat{e}_1, \hat{e}_2, \hat{e}_3$ as follows:

- First, a rotation of ϕ_1 about \hat{e}_1 :

$$R_1 = R_{\hat{e}_1}(\phi_1). \quad (\text{A-1})$$

- Second, a rotation of ϕ_2 about the transformed \hat{e}_2 unit vector:

$$R_2 = R_{\hat{e}'_2}(\phi_2), \quad (\text{A-2})$$

where $\hat{e}'_2 = R_1 \hat{e}_2$.

- Third, a rotation of ϕ_3 about the (doubly) transformed \hat{e}_3 unit vector:

$$R_3 = R_{\hat{e}''_3}(\phi_3), \quad (\text{A-3})$$

where $\hat{e}''_3 = R_2 \hat{e}'_3 = R_2 R_1 \hat{e}_3$.

The total combined rotation is

$$R = R_3 R_2 R_1 = R_{\hat{e}''_3}(\phi_3) R_{\hat{e}'_2}(\phi_2) R_{\hat{e}_1}(\phi_1), \quad (\text{A-4})$$

where the rotations are applied successively from right to left.

Now, the rotation about \hat{e}'_2 can be obtained by undoing the effect of the first rotation, performing the rotation about \hat{e}_2 , and then rotating back:

$$\begin{aligned} R_2 &= R_{\hat{e}'_2}(\phi_2) \\ &= R_1 R_{\hat{e}_2}(\phi_2) R_1^{-1} \\ &= R_{\hat{e}_1}(\phi_1) R_{\hat{e}_2}(\phi_2) R_{\hat{e}_1}^{-1}(\phi_1). \end{aligned} \quad (\text{A-5})$$

Similarly,

$$\begin{aligned}
R_3 &= R_{\hat{\mathbf{e}}_3''}(\phi_3) \\
&= R_2 R_{\hat{\mathbf{e}}_3'}(\phi_3) R_2^{-1} \\
&= R_2 R_1 R_{\hat{\mathbf{e}}_3}(\phi_3) R_1^{-1} R_2^{-1} \quad (\text{and using Eq. A-5}) \\
&= [R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_2}(\phi_2) R_{\hat{\mathbf{e}}_1}^{-1}(\phi_1)] R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_3}(\phi_3) R_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) [R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_2}(\phi_2) R_{\hat{\mathbf{e}}_1}^{-1}(\phi_1)]^{-1} \\
&= R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_2}(\phi_2) R_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_3}(\phi_3) R_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_2}^{-1}(\phi_2) R_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) \\
&= R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_2}(\phi_2) R_{\hat{\mathbf{e}}_3}(\phi_3) R_{\hat{\mathbf{e}}_2}^{-1}(\phi_2) R_{\hat{\mathbf{e}}_1}^{-1}(\phi_1). \tag{A-6}
\end{aligned}$$

The combined rotation collapses into something very simple:

$$\begin{aligned}
R &= R_3 R_2 R_1 \\
&= R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_2}(\phi_2) R_{\hat{\mathbf{e}}_3}(\phi_3) R_{\hat{\mathbf{e}}_2}^{-1}(\phi_2) R_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_2}(\phi_2) R_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) R_{\hat{\mathbf{e}}_1}(\phi_1) \\
&= R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_2}(\phi_2) R_{\hat{\mathbf{e}}_3}(\phi_3). \tag{A-7}
\end{aligned}$$

Thus, we get the result that *the combined rotation is equal to the successive rotations about the **original** unit vectors but applied in **reverse** order*. Explicitly, this means

$$\boxed{R_{\hat{\mathbf{e}}_3''}(\phi_3) R_{\hat{\mathbf{e}}_2'}(\phi_2) R_{\hat{\mathbf{e}}_1}(\phi_1) = R_{\hat{\mathbf{e}}_1}(\phi_1) R_{\hat{\mathbf{e}}_2}(\phi_2) R_{\hat{\mathbf{e}}_3}(\phi_3)}. \tag{A-8}$$

What we have shown so far is more or less a plausibility argument. Here is a derivation using quaternions. We use the notation

$$q_{\hat{\mathbf{u}}}(\phi) = \cos \frac{\phi}{2} + \hat{\mathbf{u}} \sin \frac{\phi}{2} \tag{A-9}$$

for the unit quaternion that represents a counterclockwise rotation of ϕ radians about the unit vector $\hat{\mathbf{u}}$. Then, referring to Eqs. A-1, A-2, and A-3,

$$R_1 = q_{\hat{\mathbf{e}}_1}(\phi_1). \tag{A-10}$$

$$R_2 = q_{\hat{\mathbf{e}}_2'}(\phi_2) = \cos \frac{\phi_2}{2} + \hat{\mathbf{e}}_2' \sin \frac{\phi_2}{2}, \tag{A-11}$$

where

$$\hat{\mathbf{e}}_2' = q_{\hat{\mathbf{e}}_1}(\phi_1) \hat{\mathbf{e}}_2 q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1), \tag{A-12}$$

so that

$$\begin{aligned}
q_{\hat{\mathbf{e}}_2'}(\phi_2) &= \cos \frac{\phi_2}{2} + \hat{\mathbf{e}}_2' \sin \frac{\phi_2}{2} \\
&= \cos \frac{\phi_2}{2} + q_{\hat{\mathbf{e}}_1}(\phi_1) \hat{\mathbf{e}}_2 q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) \sin \frac{\phi_2}{2} \\
&= q_{\hat{\mathbf{e}}_1}(\phi_1) \left(\cos \frac{\phi_2}{2} + \hat{\mathbf{e}}_2 \sin \frac{\phi_2}{2} \right) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) \\
&= q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1),
\end{aligned} \tag{A-13}$$

and

$$R_3 = q_{\hat{\mathbf{e}}_3''}(\phi_3) = \cos \frac{\phi_3}{2} + \hat{\mathbf{e}}_3'' \sin \frac{\phi_3}{2}, \tag{A-14}$$

where

$$\begin{aligned}
\hat{\mathbf{e}}_3'' &= q_{\hat{\mathbf{e}}_2'}(\phi_2) \hat{\mathbf{e}}_3' q_{\hat{\mathbf{e}}_2'}^{-1}(\phi_2) \\
&= q_{\hat{\mathbf{e}}_2'}(\phi_2) q_{\hat{\mathbf{e}}_1}(\phi_1) \hat{\mathbf{e}}_3 q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) q_{\hat{\mathbf{e}}_2'}^{-1}(\phi_2) \\
&= [q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1)] q_{\hat{\mathbf{e}}_1}(\phi_1) \hat{\mathbf{e}}_3 q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) [q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1)]^{-1} \\
&= q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) q_{\hat{\mathbf{e}}_1}(\phi_1) \hat{\mathbf{e}}_3 q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}^{-1}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) \\
&= q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) \hat{\mathbf{e}}_3 q_{\hat{\mathbf{e}}_2}^{-1}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1),
\end{aligned} \tag{A-15}$$

so that

$$\begin{aligned}
q_{\hat{\mathbf{e}}_3''}(\phi_3) &= \cos \frac{\phi_3}{2} + \hat{\mathbf{e}}_3'' \sin \frac{\phi_3}{2} \\
&= \cos \frac{\phi_3}{2} + q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) \hat{\mathbf{e}}_3 q_{\hat{\mathbf{e}}_2}^{-1}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) \sin \frac{\phi_3}{2} \\
&= q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) \left(\cos \frac{\phi_3}{2} + \hat{\mathbf{e}}_3 \sin \frac{\phi_3}{2} \right) q_{\hat{\mathbf{e}}_2}^{-1}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1) \\
&= q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) q_{\hat{\mathbf{e}}_3}(\phi_3) q_{\hat{\mathbf{e}}_2}^{-1}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1).
\end{aligned} \tag{A-16}$$

Therefore, the total combined rotation is

$$\begin{aligned}
R &= R_3 R_2 R_1 = q_{\hat{\mathbf{e}}_3''}(\phi_3) q_{\hat{\mathbf{e}}_2'}(\phi_2) q_{\hat{\mathbf{e}}_1}(\phi_1) \\
&= [q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) q_{\hat{\mathbf{e}}_3}(\phi_3) q_{\hat{\mathbf{e}}_2}^{-1}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1)] [q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) q_{\hat{\mathbf{e}}_1}^{-1}(\phi_1)] q_{\hat{\mathbf{e}}_1}(\phi_1) \\
&= q_{\hat{\mathbf{e}}_1}(\phi_1) q_{\hat{\mathbf{e}}_2}(\phi_2) q_{\hat{\mathbf{e}}_3}(\phi_3),
\end{aligned} \tag{A-17}$$

as was to be shown.

The program in Listing A-1 provides a way of checking this result.

Listing A-1. reverse.cpp

```
1 // reverse.cpp: program to check that a pitch-yaw-roll rotation sequence
2 // about transformed axes is equivalent to the reverse sequence about fixed axes
3
4 #include "Rotation.h"
5 #include <iostream>
6 #include <cstdlib>
7 #include <cmath>
8 #include <iomanip>
9 using namespace std;
10
11 int main( int argc, char* argv[] ) {
12
13     va::Vector i( 1., 0., 0. ), j( 0., 1., 0. ), k( 0., 0., 1. ); // three unit vectors
14     va::Vector i1, j1, k1, i2, j2, k2, i3, j3, k3;                // transformed unit vectors
15     va::Rotation Rp, Ry, Rr;                                       // rotations for pitch, yaw and roll
16     double p = 0., y = 0., r = 0.;
17     if ( argc == 4 ) {
18
19         p = atof( argv[1] ) * va::D2R;
20         y = atof( argv[2] ) * va::D2R;
21         r = atof( argv[3] ) * va::D2R;
22     }
23
24     // first, perform pitch about x-axis
25     Rp = va::Rotation( i, p );
26     i1 = Rp * i;
27     j1 = Rp * j;
28     k1 = Rp * k;
29
30     // second, perform yaw about transformed y-axis
31     Ry = va::Rotation( j1, y );
32     i2 = Ry * i1;
33     j2 = Ry * j1;
34     k2 = Ry * k1;
35
36     // third, perform roll about doubly transformed z-axis
37     Rr = va::Rotation( k2, r );
38     i3 = Rr * i2;
39     j3 = Rr * j2;
40     k3 = Rr * k2;
41
42     cout << "First done the conventional way:" << endl;
43     cout << setprecision(6) << fixed;
44     cout << "i3 = " << i3 << endl;
45     cout << "j3 = " << j3 << endl;
46     cout << "k3 = " << k3 << endl << endl;
47
48     // perform rotation sequence in reverse order about fixed axes
49     va::Rotation R = va::Rotation( i, p ) * va::Rotation( j, y ) * va::Rotation( k, r );
50
51     cout << "Now, the same rotations done in reverse order about fixed axes:" << endl;
52     cout << "i = " << R * i << endl;
53     cout << "j = " << R * j << endl;
54     cout << "k = " << R * k << endl << endl;
55
56     va::Vector v = 3.4 * i - 5.7 * j + 2.3 * k; // an arbitrary vector
57
58     cout << "original vector:" << endl;
59     cout << v << endl << endl;
60
61     cout << "transformed vector using the conventional procedure:" << endl;
62     cout << Rr * Ry * Rp * v << endl << endl;
63
64     cout << "transformed vector using the reversed order about fixed axes:" << endl;
65     cout << R * v << endl;
66
67     return 0;
68 }
```

For example, the commands

```
1 c++ -O2 -Wall -std=c++11 -o reverse reverse.cpp -lm
2 ./reverse 65. -137. 54.
```

will print the results

```
1 First done the conventional way:
2 i3 = -0.429879 -0.021405 0.902633
3 j3 = 0.591678 0.748463 0.299535
4 k3 = -0.681998 0.662832 -0.309083
5
6 Now, the same rotations done in reverse order about fixed axes:
7 i = -0.429879 -0.021405 0.902633
8 j = 0.591678 0.748463 0.299535
9 j = -0.681998 0.662832 -0.309083
10
11 original vector:
12 3.400000 -5.700000 2.300000
13
14 transformed vector using the conventional procedure:
15 -6.402747 -2.814501 0.650707
16
17 transformed vector using the reversed order about fixed axes:
18 -6.402747 -2.814501 0.650707
```

Appendix B. Yaw Angle of a Cylinder as a Function of Shape Factor

The *dimensionless shape factor* γ is defined by the equation

$$A_p = \gamma V^{2/3}, \quad (\text{B-1})$$

where A_p is the projected area and V is the volume. The formula for the dimensionless shape factor of a right-circular cylinder (RCC) as a function of yaw angle ϕ_y is

$$\gamma(\phi_y) = a \sin \phi_y + b \cos \phi_y, \quad (\text{B-2})$$

where

$$a \equiv \left(\frac{\pi L}{4 D} \right)^{-2/3} \frac{L}{D} \quad \text{and} \quad b \equiv \left(\frac{\pi L}{4 D} \right)^{-2/3} \frac{\pi}{4}. \quad (\text{B-3})$$

The yaw angle that gives the maximum shape factor is obtained by setting the derivative with respect to ϕ_y equal to zero and solving for ϕ_y :

$$\left(\frac{d\gamma}{d\phi_y} \right)_{\gamma=\gamma_{\max}} = a \cos \phi_y - b \sin \phi_y = 0, \quad (\text{B-4})$$

which gives

$$\phi_{y \gamma=\gamma_{\max}} = \tan^{-1} \left(\frac{a}{b} \right). \quad (\text{B-5})$$

To simplify the notation, let $\hat{\phi}_y$ denote this angle: $\hat{\phi}_y \equiv \phi_{y \gamma=\gamma_{\max}}$. Then,

$$a = \gamma_{\max} \sin \hat{\phi}_y \quad \text{and} \quad b = \gamma_{\max} \cos \hat{\phi}_y, \quad (\text{B-6})$$

so that Eq. B-2 can be written as

$$\gamma(\phi_y) = \begin{cases} \gamma_{\max} \cos(\phi_y - \hat{\phi}_y) & \text{if } \phi_y > \hat{\phi}_y \\ \gamma_{\max} \cos(\hat{\phi}_y - \phi_y) & \text{if } \phi_y < \hat{\phi}_y \end{cases}, \quad (\text{B-7})$$

where $\hat{\phi}_y = \cos^{-1}(b/\gamma_{\max})$. Solving for the yaw angle, we get

$$\phi_y = \begin{cases} \cos^{-1}(b/\gamma_{\max}) + \cos^{-1}(\gamma/\gamma_{\max}) & \text{if } \gamma < b \\ \cos^{-1}(b/\gamma_{\max}) - \cos^{-1}(\gamma/\gamma_{\max}) & \text{if } \gamma \geq b \end{cases}, \quad (\text{B-8})$$

which shows that we can easily get the orientation (yaw angle) of an RCC from just the impact presented area.

The code in Listing B-1 implements this procedure by sampling dimensionless shape factors from a lognormal distribution to generate the appropriate yawed RCC. The program may be compiled and run with the following commands:

```
1  c++ -O2 -Wall -std=c++11 -o cyl cyl.cpp -lm
2  ./cyl
```

Listing B-1. cyl.cpp

```
1  // cyl.cpp: Implementation of an algorithm for generating FATEPEN RCCs to represent a specified shape factor.
2  //      Given a dimensionless shape factor, generates the L/D and yaw angle for the RCC to represent it.
3  //      The RCCs are disk-like in that the L/D <= Pi/4, which is necessary since [0.5,4.5]
4  //      is the range of shape factors for artillery and rod-like RCCs don't span this range.
5  // R. Saucier, October 2011
6
7  #include <iostream>
8  #include <iomanip>
9  #include <cstdlib>
10 #include <cmath>
11 #include <random>
12 #include <chrono>
13 using namespace std;
14
15 int main( int argc, char* argv[] ) {
16
17     const int    N      = 1000;          // number of samples
18     const double R2D    = 180. / M_PI;  // to convert from radians to degrees
19     const double SF_MIN = 0.5;          // minimum shape factor (found from artillery fragments)
20     const double SF_MAX = 4.5;          // maximum shape factor (found from artillery fragments)
21
22     // default values for the shape factor lognormal distribution from 122mm, 152mm and 155mm artillery
23     double mu      = 0.590494;          // these two parameters characterize the lognormal shape factor distribution
24     double sigma   = 0.323433;          // with mode = 1.63, median = 1.80 and mean = 1.90
25
26     // ability to override the default values from the command line by specifying min and max shape factor
27     // that is meant to capture 95% of the complete distribution (from 0.025 to 0.975)
28     if ( argc == 3 ) {
29
30         double sfmin = atof( argv[1] );
31         double sfmax = atof( argv[2] );
32         mu      = 0.5 * log( sfmin * sfmax );
33         sigma   = log( sfmax / sfmin ) / ( 2. * M_SQRT2 * 1.3859 );
34     }
35
36     unsigned int seed = std::chrono::high_resolution_clock::now().time_since_epoch().count();
37     std::mt19937 rng( seed );           // Mersenne Twister engine
38     std::lognormal_distribution<double> lognormal( mu, sigma ); // lognormal shape factor distribution
39     std::uniform_real_distribution<double> uniform( 0.069, M_PI_4 ); // uniform distribution for L/D
40
41     double a, b, c, th, sf_min, sf_max, sf, l_d, l, d, yaw, V = 1.; // here we use a fragment with unit volume
42     std::cout << std::setprecision(6) << std::fixed;
43
44     for ( int n = 0; n < N; n++ ) {
45
46         // normally, the shape factor would be provided, but here we get a shape factor within bounds [SF_MIN, SF_MAX]
47         do { sf = lognormal( rng ); } while ( sf < SF_MIN || sf > SF_MAX );
48
49         // now we want to realize this shape factor with a cylinder
50         do {
51             l_d = uniform( rng );
52             c   = pow( M_PI_4 * l_d, -2./3. );
53             a   = c * l_d;
54             b   = c * M_PI_4;
55             sf_min = a;
56             sf_max = sqrt( a * a + b * b );
57         } while ( sf < sf_min || sf > sf_max );
58
59         if ( sf < b ) th = acos( b / sf_max ) + acos( sf / sf_max );
60         else          th = acos( b / sf_max ) - acos( sf / sf_max );
61
62         d   = pow( V / ( M_PI_4 * l_d ), 1./3. );
63         l   = d * l_d;
64         yaw = th * R2D;
65
66         std::cout << l_d << "\t" << yaw << std::endl;
67     }
68
69     return EXIT_SUCCESS;
70 }
```

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List of Symbols, Abbreviations, and Acronyms

TERMS:

FATEPEN: Fast Air Target Encounter Penetration

RCC: right-circular cylinder

RPP: rectangular parallelepiped

MATHEMATICAL SYMBOLS:

$R_{\hat{e}}(\theta)$: Rotation about the unit vector \hat{e} through the angle θ

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